

AIGT Trade - Counterparty KYC checklist

Identity & legal existence

- Registered legal name, trade names, and CR number.
- Certificate of incorporation / trade licence and good standing.
- Registered address, operating locations, and website.

Ownership & control

- UBOs with thresholds per applicable law.
- Board and senior management ID for material counterparties.
- Structure chart for complex groups.

Business & trade profile

- Commodity types, routes, expected volumes.
- Banking relationships and settlement currencies.
- Trade references or audited financials when required.

Sanctions, AML & integrity

- Sanctions and PEP screening with match escalation.
- Source of funds / wealth for higher-risk cases.
- Adverse media checks proportionate to risk.

Documentation

- Authorised signatories and board resolutions.
- Key licences (import/export, regulated activity).
- Signed compliance representations and compliance contact.

General guidance only - adapted by transaction and bank requirements.